

06/01/01

JCS64 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 I.53(b))

Attorney Docket No. 04645.0875

First Inventor Shah et al.

Title Ruthenium-Containing Ultrasonically ...

Express Mail Label No. EF380361905US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See CFR 1.27.
3. ☒ Specification [Total Pages / 33 / ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 13 / ]
5. ☒ Oath or Declaration [Total Pages / 3 / ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: check for \$1114.00 and \$40.00

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of the prior application No: 09/280,445

Prior application information: Examiner: M. Cleveland Group/Art Unit: 1762

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

NAME	Michael F. Scalise				
	Hodgson Russ LLP				
ADDRESS	One M&T Plaza, Suite 2000				
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349

"Express Mail" Mailing Label Number EF380361905US

Date of Deposit June 1, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty  
Name

*Barbara Haggerty*

547038

# FEE TRANSMITTAL

## for FY 2001

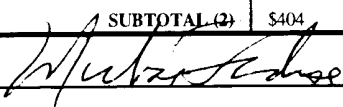
*Patent Fees are subject to annual revision.*

Application Number	
Filing Date	June 1, 2001
First Named Inventor	Shah et al.
Examiner Name	
Group/Art Unit	
Attorney Docket Number	04645.0875

**TOTAL AMOUNT OF PAYMENT** (\$)1154.00

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

### 3. ADDITIONAL FEES

Deposit Account Number: <u>08-2442</u>				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid		
Deposit Account Name: Hodgson Russ LLP											
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				105	130	205	65	Surcharge - late filing fee or oath	\$		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$		
2. <input checked="" type="checkbox"/> Payment Enclosed:											
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				139	130	139	130	Non-English specification	\$		
<b>FEE CALCULATION</b>				147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	\$		
1. BASIC FILING FEE											
Large Entity Small Entity				112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid						
101	710	201	355	Utility filing fee	\$710	115	110	215	55	Extension for reply within first month	\$
106	320	206	160	Design filing fee	\$	116	390	216	195	Extension for reply within second month	\$
107	490	207	245	Plant filing fee	\$	117	890	217	445	Extension for reply within third month	\$
108	710	208	355	Reissue filing fee	\$	118	1,390	218	695	Extension for reply within fourth month	\$
114	150	214	75	Provisional filing fee	\$	128	1,890	228	945	Extension for reply within fifth month	\$
<b>SUBTOTAL (1)</b>				\$710	119	310	219	155	Notice of Appeal	\$	
2. EXTRA CLAIM FEES											
Extra Fee from Claims below				120	310	220	155	Filing a brief in support of an appeal	\$		
Total Claims /38 / -20** = / 18/ x /18 /				\$324	121	270	221	135	Request for oral hearing	\$	
Independent Claims /4 / - 3** = / 1 / x /80 / =				\$80	138	1,510	138	1,510	Petition to institute a public use proceeding	\$	
Multiple dependent / / x / / =				\$	140	110	240	55	Petition to revive - unavoidable	\$	
Large Entity Small Entity				141	1,240	241	620	Petition to revive - unintentional	\$		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description							
103	18	203	9	Claims in excess of 20		142	1,240	242	620	10 advance copies Utility issue fee (or reissue)	\$
102	80	202	40	Independent claims in excess of 3		143	440	243	220	Design issue fee	\$
104	270	204	135	Multiple dependent claim if not paid		144	600	244	300	Plant issue fee	\$
109	80	209	40	**Reissue independent claims over original patent		122	130	122	130	Petitions to the Commissioner	\$
110	18	210	9	**Reissue claims in excess of 20 and over original patent		123	50	123	50	Processing fee under 37 CFR 1.17(q)	\$
<b>SUBTOTAL (2)</b>				\$404	581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40	
SIGNATURE: 				146	710	246	355	Filing a submission after final rejection(37 CFR 1.129(a))	\$		
Michael F. Scalise Reg. No. 34,920				149	710	249	355	For each add'l invention to be examined(37 CFR 1.129(b))	\$		
DATE: June 1, 2001 Telephone: (716) 848-1258				179	710	279	355	Request For Continued Examination (RCE)	\$		
Express Mail® Mailing Label Number: EF380361905US				169	900	169	900	Request for Expedited Examination of a design appln.	\$		
Date of Deposit <u>June 1, 2001</u>				<b>*Reduced by basic filing fee paid</b>					<b>SUBTOTAL (3)</b>	\$40	

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty

Name

Signature



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